CERTIFICATE OF MAILING

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(Signature of Person Mailing Paper or Fee)

Attorney Docket No.: SUN-P7242-RSH

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Inventor:

Christoph L. Schuba, et al.

Title:

METHOD AND APPARATUS FOR USING CLIENT PUZZLES TO PROTECT

AGAINST DENIAL-OF-SERVICE ATTACKS

Filing Date: Serial Number: March 6, 2002

Group Art Unit:

To Be Assigned To Be Assigned

Examiner:

To Be Assigned

Listed below or on an attached Form PTO-1449 is information known to applicant(s) and submitted pursuant to 37 C.F.R. §1.56. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- X This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
 - \underline{X} (1) It is being filed within 3 months of the application filing date

-- OR --

__ (2) It is being filed within 3 months of entry of a national stage

-- OR --

 \underline{X} (3) It is being filed before the mail date of the first Office Action on the merits.

	filing d set fort merits,	37 C.F.R. $\S1.97(c)$. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in $\S1.491$ in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under $\S1.113$ or a notice of allowance under $\S1.311$, then:					
	_	a certification as specified in §1.97(e) is provided below; or					
	_	a fee of \$240.00 as set forth in \$1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement. Please note that a check in the amount of \$240.00 is enclosed in payment.					
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final caction under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee,						
	A.	a certification as specified in §1.97(e) is completed below; and					
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and					
	C. D.	a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.					
_	contain patent of filing of information counter after m statemen	ent under 37 C.F.R. §1.97(e) - I hereby certify that either: each item of information and in the information disclosure statement was cited in a communication from a foreign office in a counterpart foreign patent application not more than three months prior to the of the information disclosure statement; or no item of information contained in the action disclosure statement was cited in a communication from a foreign patent office in a repart foreign patent application, and, to the knowledge of the person signing the statement aking reasonable inquiry, no item of information contained in the information disclosure ent was known to any individual designated in section 1.56(c) more than three months prior filing of the information disclosure statement.					
	Signatu	are:					
		A. Richard Park (Reg. No. 41,241) Date					
Respectfully submitted,							

A. Richard Park Reg. No. 41,241

PARK, VAUGHAN & FLEMING LLP 508 Second Street, Suite 201 Davis, CA 95616 (530) 759-1661

Date: March 6, 2002

SHEET 1_ OF 1

INFOF	RMATION DIS CITATION			ATTY. DOCKET NO. SUN-P7242-RSH APPLICANT		APPLICATION NO. To Be Assigned		
			Christoph L. S	Christoph L. Schuba, et al.				
	PTO-1449	March 6, 2002	FILING DATE March 6, 2002		GROUP ART UNIT To Be Assigned			
U.S. PATENT DO								
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE		
				 				
	5 7 -	FOREIGN PATEN	IT DOCUMENTS	_L S				
EXAMINER'S INITIALS	PATENT NO.	DATE				Transl	lation	
INITIALS	PATENTING.		COUNTRY	CLASS	SUBCLASS	Yes	No	
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	OTHER DOCUME	NTS (Including Aut	hor Title Date, F	 	eras Etc.)			
	Publication entitled '	"Using Client Puzzles lings of the 10 th Useni	s to Protect TLS."	by Drew De	ean and Adam	USA, P	ages	
		-						
EXAMINER		DATE CONSIDE	DATE CONSIDERED					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.